

# **The Conflict and Governance Facility (CAGE)**

**A Partnership Project of National Treasury, South Africa and the European Union**



# Introducing the Facility



*From Left To Right:*

Davina Jonathan, Nathalie Vereen, Charmaine Estment, Mpho Gadisi

# Our Symbols

- *The Continent of Africa:* our focus for this South African-based programme
- *The Globe:* Conflict and Governance are global concerns
- *The Isivivane:* The Isivivane refers to a custom where people, who pass certain areas, begin to build a pile of stones to form a stone cairn. The placing of a stone on the pile signifies that the passer-by commits to solidarity and oneness of purpose with a certain good cause. The Isivivane records that the person pays homage to those who have travelled this path before and that the ancient and timeless stones placed on the landscape convey traditional and even sacred networks of information. Thus this custom memorialises knowledge.
- *The Meaning for the Conflict and Governance Facility:* Our symbolic “Isivivane” acknowledges those who have walked the path of conflict and governance before us; it shows commitment to building peace through knowledge: We hope you will add your stone and build with us ...

# Protocol Issues

- CAGE falls under the European Programme for Reconstruction and Development (EPRD): Democracy and Good Governance.
- CAGE is a partnership project between the EU and National Treasury, South Africa. National Treasury is the Implementing Agency.
- The Secretariat headed up by a Programme Co-ordinator implements the project and manages the day to day activities of the programme.

# Locating CAGE within EPRD

- The European Programme for Reconstruction and Development, through CAGE, strives to:
  - Encourage the support for democracy, the rule of law and the respect of human rights
  - Contribute to regional political dialogue between the EU and the countries of Southern Africa ... with a view in particular to promoting lasting peace and stability in the region

## *Other Examples:*

- Support policies and programme aimed at integration of the SA economy into world economy and trade for employment creation
- Incorporate private sector development and regional co-operation and integration
- Support sound public management, strengthening local government and involving civil society in the development process

# What is CAGE?

- CAGE is a grant funding facility to fund research and studies/dialogue on conflict and governance to influence policy development and implementation.
- CAGE is a 54 month programme, with a funding budget of 4 million EURO.
- The final date for commitments will be 1 August 2007 with project closure by 1 February 2008.
- Only 15% of the overall budget will be used for administration costs, whilst 75% will be used for programme funding (3 260 000 Euro).
- Programme Funding is allocated per Work Plan.
- CAGE has completed Work Plan 1: Considered an Inception Work Plan: will continue to implement in Work Plan 2 (2005/6) and Work Plan 3 (2006/7).
- Grant Funding will be committed from March 2005 onwards.

# CAGE objectives

- *Overall Objective:* to improve national policy, decision –making and implementation on issues related to foreign and domestic policy that mitigate or cause conflict.
- *Purpose:* to promote informed decisions on issues related to conflict and governance based on research and studies through participation of research and other appropriate organisations.

# CAGE Result Areas

- *Improved policy analysis* as a basis for better informed policy making and implementation
- *Capacity of research institutions strengthened:* incentives for joint research between established and emerging institutions, thereby promoting transfer of knowledge and skills
- *Policy debate strengthened:* Participation in policy is enlarged. Quality of discussion and dialogue enriched

# CAGE Activities

## ■ RESULT ONE: Improved Policy Analysis

- Identify priorities issues for research based on agreed criteria
- Selection of proposals based on evaluation criteria
- Research is undertaken and monitored (result serve as input to policy making)

# CAGE Activities (cont'd)

- **RESULT TWO: Capacity of Research Institutions Strengthened**
  - Collaborative research initiatives are encouraged
  - Debates and exchanges among and between researchers are facilitated and encouraged
  - A database of research institutions working on conflict and governance is established and maintained
  - Participation in key note conferences and workshop

# CAGE Activities cont'd

- **RESULT THREE: Policy debate strengthened**
  - Results are widely disseminated
  - New discussion fora are created where necessary

# CAGE funding areas

- Grants for: Research >>> Call for Proposals
  
- Via Grant Process:
  - Workshops
  - Round Tables/ Conferences/Seminars
  - Collaborative research encouraged
  - Dissemination by means of publications
  
- Capacity Building

# CAGE Governance structures

- Programme Steering Committee (Board) provides oversight, guidance and approves.
  - PSC comprises of representative from Government and Civil Society with the EU having an observer status.
  - Government departments are Foreign Affairs, National Treasury and the Presidency.
- The Secretariat comprises of: Programme Co-ordinator, Operations Officer, Office Administrator and Financial and Procurement Officer.
- We operate within EU Practical Rules and Guidelines (PRAG) and Public Finance Management Act (PFMA).

# Stakeholders

*There are three sets of stakeholders for CAGE:*

- *Primary:* are those who directly benefit from CAGE resources: Research Institutions and Government Policy Units.
- *Secondary:* those who indirectly benefit from CAGE resources: Government Departments and Citizens.
- *Tactical:* are those used to assist CAGE in particular areas of operation e.g. other funding agencies, media (photo of 1<sup>st</sup> workshop)

# Policy Framework

- Constitution of South Africa.
  - Specific Legislation and Government Policy: Cluster Priorities.
  - State of the Nation, Lekgotla's and Budget Speech.
  - Vision/Mission and Strategic Plans of Partners.
  - Codes ratified by SA around Conflict: AU/EU/UN/SADC etc.
  - Overall objectives, purpose and Results of CAGE.
  - Strategies and projects of research institutions.
- ... Should lead to a “joint product” as funded by CAGE which, in turn, should enter a feedback loop to the policy framework ...

# An Example of a Research “problem” that CAGE could consider

- Issues: Land redistribution and restitution.
- Money and resources are not adequate or available.  
Potential for conflict: Process of land restitutions/redistribution is slow and this could lead to conflict and lack of investor confidence >>> Research to address this.

# Funding Principles

- Partnership between government department and research NGO's or research institution:
  - Government priorities trigger research;
  - Research Institutions to conduct quality research to inform aspects of policy around conflict and arena
  - Organisations to disseminate the researched material so as to contribute to policy dialogue and implementation (service delivery) >>> Feedback Loop to Policy Units
  - Co-ordinate events: seminars, roundtables, conferences and workshops
  - Produce publications
  
- Ability to produce quality research with adequate human resource capacity

# FUNDING PROCESS – CfP's

The Isivivane



# CfP's

- **CfP approach:** Based on the identified research gaps – CAGE has established broad objectives, the scope of project it is willing to fund, application and assessment procedures and a set of eligibility criteria for applicants (**Eligibility includes CAGE Results**)
- These will be published and float for a particular period of time (60-90 days)
- The responsibility for identifying, formulation and implementation of projects is passed on to those who apply for funding

# Role of the Applicant

- Respond to the CfP.
- Follow instructions as outlined – formats, etc.
- Administrative and eligibility compliance.
- Project activities: aligned to policy priorities as tabled in the CfP
- Project budget must be within the published ceiling amount and might be negotiated to ensure a spread of funding.
- Floating dates adequate for response.

# Evaluation Committee for CfP's

- Chairperson, Secretary of the Committee and EU Observer are non-voting members
- There is an odd number of voting members (who vote only in the meeting that approves the grant awards)
- The PSC appoints the evaluation committee, the EU endorses the Committee. The Committee and authorised observers are expected to sign a Declaration of Impartiality and Confidentiality
- The first stage of the Evaluation process is the opening session – A minuted report on: date, time and place of session, the persons present, the names of applicants who submitted proposal within the deadline and names of those who submitted after the deadline is compiled.
- Applicants informed of the outcome of the Opening Session and the proposals that have complied with the Opening, proceed to the next stage of evaluation: Assessment

# Assessment of Proposals

- Two types of assessment.
- Administrative and eligibility criteria – set out in the guidelines for applicants.
- Administrative: is the dossier complete.
- Eligibility: are applicants, partners eligible? Criteria is set out in the guidelines for applicants. Ineligible proposals will not be considered further.
- Assessment may be carried out by the members of the evaluation committee or assessors appointed.
- Committee has the responsibility to review results.

# Assessment Cont'd

- Quality must be assessed by at least two people – on the basis of the evaluation grid.
- Each sub-heading has a score and these are added up.
- Evaluation committee will use the assessments grids with comments from the assessors to make recommendations.
- Secretary will put together an evaluation report – signed off by the committee and endorsed by the EC Delegation.
- The PSC and EC Delegation will then proceed with the awards.

# Conclusion



Contact **CAGE** at: (012) 664 9400 or  
[info@cage.org.za](mailto:info@cage.org.za)  
for further queries or visit  
[www.cage.org.za](http://www.cage.org.za)